

TRANSMISSION SYSTEM OPERATOR

Regulation for the Electric Power Market Registration Procedure.

Chapter I

General

ARTICLE 1

These provisions are drafted in compliance with the Albanian Electric Power Market Temporary Rules, Chapter III, Section III.1.2, approved by Decision no. 139, dated 15.08.2016 of the ERE Board of Commissioners, as amended.

ARTICLE 2

These provisions define the registration procedure in the Electric Power Market for every person: natural or legal person, local or international, equipped with a license from the Electric Power Regulatory Authority, in accordance with Article 37 of the Law No. 43 / 2015, "On Electric Power Sector", who requires to participate in the Electric Power Market, organized by the Market Operator of the TSO s.a.

Chapter II

Application

ARTICLE 3

The Applicant who requires to participate in the Albanian Electric Power Market, fills the form A1, attached to these provisions, approved by the TSO s.a.

This form is picked up at TSO-MO or online in the TSO s.a. web page.

ARTICLE 4

The Form and the documents attached to it, must be sent by mail close to the TSO MO, along with an official letter.

ARTICLE 5

The applicant will attach to the A1 registration form, the below documentation:

- Technical Information, approved by the TSO s.a. ;
- License, notarized copy;
- Documents issued by the TSO s.a. and / or DSO that gives access to the Transmission Network, and / or that the Distribution Network, for the Transiting cases;
- A certified copy of the last annual balance sheet, deposited at the tax authorities;
- Description of the means of communication, the original statement of the applicant;
- Information on details and the communication means.

ARTICLE 6

Each applicant / licensee party that wishes to become a participant in the Albanian Electric Power Market, must deposit close to the TSO-MO, the financial guarantee for all services provided by the TSO s.a., in accordance with the "Procedures for guarantee calculation and deposit control", prepared by the TSO-MO and approved by the ERE.

ARTICLE 7

Within 10 working days from the receipt of registration documents, TSO MO will verify the application data and the attached documents. Not later than three working days after the termination of this deadline, it will send a notice of acceptance of the Electric Power Market registration application, in accordance with the Form A2 attached these provisions, approved by the TSO s.a.

Chapter III

Acceptance of Application

ARTICLE 8

The applicant who has received the notice of the application's registration acceptance in the Electric Power Market, or to whom are recommended guidelines and mandatory conditions required for the adjustment of potential errors in the application forms and attached documents, must send a reply for fulfilling the requirements, set out in this form by TSO MO, within 10 working days from the day of the notice receipt.

ARTICLE 9

After implementing all the above registration requirements, TSO-MO will register the participant in the register of the parties and it will sign the Market Participation Agreement, approved by ERE.

ARTICLE 10

After signing the Market Participation Agreement by an authorized representative of the claimant, the registration as new Market Participant, becomes effective on the day of its implementation.

ARTICLE 11

TSO-MO will publish on its website a list of registered parties with information on the name, identification number, date of registration and status.

ARTICLE 12

Each party is required to send information to the Market Operator on amending the information provided in the registration, within 6 working days of the occurrence of the change.

ARTICLE 13

TSO-MO will officially register all Market Participants in the Electric Power Market, evidencing them in a special register.

Chapter IV

The application rejection and the dispute over the registration.

ARTICLE 14

TSO-MO may refuse the registration in the Electric Power Market if it finds these cases:

- a) Mistakes in the registration form and attached documents that are not corrected within 10 working days, irrespective of the notification for the finding of these flaws.;
- b) Obligations towards the Transmission System Operator TSO s.a. that are not settled.

TSO-MO shall not register a party in case of non-fulfillment of the conditions, within the terms set forth in this regulation and the regulations of the Albanian Electric Power Market.

ARTICLE 15

In case of refusal of registration by TSO MO, the party has the right to oppose the refusal by a letter directed to ERE, within 10 working days of its receipt.

ARTICLE 16

ERE will verify whether the reasons for denial are justified and it will take a decision within 15 working days of its receipt.

ARTICLE 17

In case of refusal of registration by TSO-MO, the applicant may initiate a new registration procedure in the electric power market, 1 month after the date on which the TSO-MO refusal becomes final.

The refusal of the registration given by the TSO-MO takes a final form the next day of receipt of the notification, for the ERE's decision that put this rejection into effect. Conversely, the refusal of the registration takes the form after the expiry of the ERE's term of 10 days.

On the contrary, the refusal of registration is final after the expiration of the appeal deadline of 10 working days in ERE.

ARTICLE 18

In the case that the ERE's decision confirms that the operator has refused unjustifiably the registration in the Electric Power Market, TSO-MO is obliged within 5 working days from receipt of the ERE's Decision, to send to the applicant, a notice of receipt of the registration form in the Electric Power Market.

Chapter V

Request for Withdrawal, Suspension and Termination of Participation in the Market.

ARTICLE 19

A Market Participant may withdraw from the Electric Power Market on its own initiative, based on a written notice, signed by an authorized representative of this participant, in accordance with A3 form, approved by the TSO s.a.. The notification must be submitted at least 3 months before the cancellation date of the Market Participant registration. Upon receipt of this notification, TSO -MO will immediately inform all other Market Participants.

ARTICLE 20

If the participant cannot meet all the terms of the Market Regulations, then the Participant or TSO-MO have to inform ERE within 10 working days.

ARTICLE 21

1. TSO-MO will suspend the agreement for participant's participation in the market, if any of the following conditions is completed:
 - a) The Market Participant no longer meets one or more of the conditions, necessary for registration as Market Participant (as defined in these provisions).
 - b) The Market Participant does no longer meets the requirements connected with financial guarantees or doesn't make other payments (set forth in these provisions).

ARTICLE 22

If the Market Participant cannot prove that he has avoided the cause (causes) that caused his suspension, during the period specified in the suspension notice, the TSO -MO will then proceed with the termination of Market Participation Agreement.

If this TSO-MO decision is rejected by the participant, then after filing the complaint, ERE will verify, if the reasons for the trading activities suspension, are justified and it will give its Decision within 15 working days from the complaint receipt.

ARTICLE 23

If the registration of the Market Participant is suspended, then all pending offers (new) of the Market Participant will be automatically canceled for the entire period of suspension.

ARTICLE 24

TSO-MO is entitled to terminate the Market Participation Agreement of a Market Participant, in each of these cases:

- If the Market Participant does not comply with the Market Participation Agreement.
- If the Market Participant is consistently found guilty of neglecting the Market Rules and all the applicable codes for Albanian Electric Power Market.

ARTICLE 25

TSO-MO immediately revokes the Market Participation Agreement, when ERE removes the relevant license from the Market Participant. All the Market offers of this Participant are automatically canceled from the date stated in the notice.

ARTICLE 26

The Market Participant, whom Market Participation Agreement has expired, is responsible for all legal obligations that he has, towards the OST-MO.

ARTICLE 27

These provisions become effective upon approval by the ERE.

APPLICANT LOGO

FORM A 1

Application

for

Participation in the Electric Power Market

No. _____ date _____

- Applicant.....

- Address:

- Phone:Fax:E-mail:

- Position in the Electric Power Market:

- Identification number in the National Business Center: TIN.....

- Licensed by ERE with license No.....date.....

- With bank account in the Bank.....,

Account No:.....Banking code.....

- Electric Power used / generated in a year before the application date isMWh

- Attached the following documentation:

1. License (for the licensed activity – document notarized copy)
2. Technical Information (model form approved by TSO – original document)
3. Document issued by the TSO and / or DSO for access to the Network, (if available, for transit cases in the transmission and / or distribution network - copy document).
4. Last annual balance, certified by the tax authorities, (for the last calendar year - certified copy.)
5. Description of the communication means (Model Form approved by the TSO - original document).
6. Information on the details and the way of communication (Model Form approved by the TSO - original document).
7. Financial Guaranty of the Market Registration (issued by the Bank, based on Model Form approved by the TSO - original document).

Licensed Subject:

Administrator

(Signature and Seal)

Prot. No. _____ date _____

**Notification on the Application
For
Participation in the Electric Power Market**

Addressed:

- Applicant
- Address:
- Phone:, Fax:, E-mail:
- Identification No. in the National Business Center: TIN.....

In response to your application, No.Date: for registration in the Albanian Electric Power Market and pursuant to the "Regulation on the Registration Procedure in the Electricity Market", we notify you:

- A. Your Application for Registration is accepted, as it is complete and correct.
- B. Your Application for Registration is refused, as it is not complete and / or correct:
 - a.....
 - b.....
 - c.....

Completion and / or correction of the application must be done within 10 working days from the date of receipt of this notice.

Market Operator

.....

Logo of the Licensed Subject

Information

On the details and the means of communication in the Electric Power Market

Licensed Subject data:

Name of the Licensed Subject:*According the License Specification*

Address:*Address of the main residence of the Licensee*

Identification Number in NBC: TIN.....

Phone.....Fax.....E-mail.....

After the registration in the Albanian Electric Power Market, for carrying out the licensed activity:

- I authorize, for sending and confirming documents, related to the operational work in the electric power market, the person / persons:

- 1Phone:.....Fax:E-mail:
- 2Phone:.....Fax:E-mail:
- 3Phone:.....Fax:E-mail:

- I authorize for signing the contracts and invoices, which are subject to the activity in the electric power market, the person / persons:

- 1.Phone:.....Fax:E-mail:
- 2.Phone:.....Fax:E-mail:
- 3.Phone:.....Fax:E-mail:

Licensed Subject:

Administrator
(Signature and Seal)

Logo of the Licensed Subject

Technical Information

Supplier

Data of the licensed Entity for the Electric Power Supply

Denomination	Specification	Notes
Name of the Licensed Entity		<i>According to the specification in the license</i>
Residence		<i>Address of the main residence of the licensee</i>
License	<i>Nr.Date.....</i>	<i>According to the specification in the license</i>
Deadline of the License		<i>According to the definition in the license</i>
Licensed Activity		<i>According to the definition in the license</i>
Licensing Authority		<i>Name, licensing authority's resident state</i>
EIC CODE		
Financial Data	<i>Bank, Address, Bank Account, Bank Code</i>	
Transaction's Volume	<i>-----MWh</i>	<i>Volume in the last calendar year</i>

Licensed Subject:

Administrator

(Signature and Seal)

Logo of the Licensed Subject

Technical Information

Producers of the Electric Power

Data of the licensed Entity for the Electric Power Production

Denomination	Specification	Notes
Name of the Generation Facility		<i>According to the license or project</i>
Ownership	<i>Public or Private or Concession</i>	
Total Capacity of the Facility	<i>-----On.....</i>	
Number of the Generating Units	<i>-----unit</i>	
Capacity of the Generating Units	<i>-----On</i>	
Raw Material	<i>Coal or gas or water or PV etc.</i>	
Type of the central	<i>Cogeneration, run of river, solar radiation, etc.</i>	
Production efficiency	<i>Kg/kwh or m³ /kwh, or m² / kw, etc.</i>	<i>On the last calendar year or according to the project</i>
Average Annual Production	<i>Kwh / year</i>	<i>On the last calendar year or according to the project</i>
Year of putting into Use		<i>Year of putting into Use of the first Unit</i>
Connection point	<i>TSO or DSO, etc</i>	<i>The name of the company that administers the network</i>
Voltage at the connection point	<i>220KV or 110KV or 35 KV, etc.</i>	<i>Network voltage at the connection point</i>
Metering Point / Points	<i>E.g. The side 6 kV of the Tr. T1, etc.</i>	<i>Specifications on the z point or points</i>
Code of the Metering Point / Points		<i>Under the Metering Management System of the Network Operator</i>

Licensed Subject:

Administrator

(Signature and Seal)

Note: This Form is supplemented separately for each facility included in the License of the Licensee

Technical Information

Distribution System Operation

Data of the licensed Entity for the Electric Power Distribution

Denomination	Specification	Notes
Name of the Licensed Entity		<i>According to the specification in the license</i>
Residence		<i>Address of the main residence of the licensee</i>
License		<i>According to the specification in the license</i>
Deadline of the License		<i>According to the specification in the license</i>
Licensed Activity		<i>According to the specification in the license</i>
EIC Code		
Financial Data	<i>Bank, Address, Bank Account, Bank Code</i>	
Operation Distribution Area	<i>Geographic borders of the area</i>	<i>According to the specification in the license</i>
Connection Point / Points with the TS		<i>Line / lines and / or bus-bars / connection bus-bars with TSO</i>
Code of the Metering point / points with the TS		<i>Under the Metering Management System of the TSO</i>
Connection Point / Points with the DS		<i>Line / lines and / or bus-bars / connection bus-bars with DSO</i>
Code of the Metering point / points with the DS		<i>Under the Metering Management System of the DSO</i>
Data on the Distribution Area	<i>Area production (MWh / year), area consumption (MWh / year), no. tariff customers and no. of non-tariff customers</i>	

Licensed Subject:

Administrator

(Signature and Seal)

Logo of the Licensed Subject

Technical Information

Trader

Data of the licensed Entity

Denomination	Specification	Notes
Name of the Licensed Entity		<i>According to the specification in the license</i>
Residence		<i>Address of the main residence of the licensee</i>
License	<i>Nr.Date.....</i>	<i>According to the specification in the license</i>
Deadline of the License		<i>According to the definition in the license</i>
Licensed Activity		<i>According to the definition in the license</i>
Licensing Authority		<i>Name, licensing authority's resident state</i>
EIC CODE		
Financial Data	<i>Bank, Address, Bank Account, Bank Code</i>	
Transaction's Volume	<i>-----MWh</i>	<i>Volume in the last calendar year</i>

Licensed Subject:

Administrator

(Signature and Seal)

No.Prot.....

Date:

Addressed to: Mr.....

Administrator of the TSO s.a.

Subject: Request for registration in the Electric Power Market Operator

Honorable Mr.

In accordance with market rules approved by the ERE No. ... Date, I am addressing you the application for registration, for becoming a party to the Albanian Electric Power Market, in accordance with the pertinent procedure approved by ERE.

You will find attached the application for registration as well as the necessary accompanying documentation.

In order to follow the registration procedure in the Market Operator:

I authorize Mr.

Address:

Phone:, Fax:, E-mail:

Licensed Subject:

Administrator

(Signature and Seal)

Logo of the Licensed Subject

Description on Communication Means in the Electric Power Market

Name of the Licensed Subject:*According to the specifications in the License*

Address:*Address of the main residence of the Licensee*

Identification Number in NBC: TIN

Phone:, Fax:, E-mail:

In order to perform the licensed activity in the Albanian electric power market, it will be communicated with the Market Operator, in accordance with the relevant communication procedures, set out in the "Market Rules" and other acts regulating the Albanian Electric Power Market through:

- via electronic communications, whose addresses are stated in "Information on the details of the communication";
- via Fax, to the corresponding number stated in "Information on the details of the communication";
- through the telephone number and the respective authorized persons, which are stated in the "Information on the details of the communication";
- through the postal service, at the address stated in "Information on the details of the communication".

For any change in declared data for the communication, related to the operation of the electric power market, the Market Operator will be notified by changing the declarations into the "Information on the details of the communication" in the terms set out in the "Market Rules".

The Market Operator will notify to the authorized persons in the "Information on the details of the communication", after signing the "Market Participation Agreement", the addresses and necessary communications codes for carrying out the transactions in the market.

Licensed Subject:

Administrator

(Signature and Seal)

Logo of the Licensed Subject

FORM A3
Request
On
Withdrawal from the Electric Power Market

No ____ date _____

- Applicant.....
- Address.....
- Phone:.....,Fax.....,E-mail.....
- Identification Number in NBC: TIN
- Licensed by ERE with license No.....date.....

In accordance with the "Market Rules", approved by ERE with No.....,Dated....., I am addressing you with the request for withdrawal from the Electric Power Market, in accordance with the relevant procedure approved by the ERE.

In order to act on my behalf, to pursue the Market Operator's unsubscribing procedure:

I authorize Mr.,
Address:
Phone:, Fax:, E-mail:

Licensed Subject:
Administrator
(Signature and Seal)